

Texas Department of Family and Protective Services

Rider 30 CPS Reform Expenditure Report for FY 2006

As of August 31, 2006

Submitted October 31, 2006

DFPS Rider 30 CPS Reform Expenditure Report for FY 2006
Status Report as of August 31, 2006

	FY 2006 Appropriated	FY 2006 Funding Allocation	Operating Budget Adjustments	FY 2006 Operating Budget	YTD Expenditures	FY 2006 Projected Expense (Annual)	Variance	FY06 Total Approp FTEs	Annual Phase- In	YTD Ave FTEs Filled
Purpose Listed in Rider 30										
1. Salary/Other Support Costs-Direct Delivery Staff	28,745,567	27,775,486	1,155,746	28,931,232	29,035,906	32,050,411	(3,119,179)	822.0	554.0	573.2
2. Regional Screeners	2,016,635	2,016,635	(285,922)	1,730,713	1,049,244	1,584,858	145,855	41.0	41.0	21.4
3. Case Records Compliance	1,059,100	1,059,100	(191,389)	867,711	624,904	832,130	35,581	20.0	20.0	18.1
4. Functional Units for All Stages of Service	11,499,174	11,534,445	196,903	11,731,348	9,012,774	9,602,480	2,128,868	383.0	240.1	227.7
5. Expanded Training	3,560,542	3,560,542	(1,237,925)	2,322,617	2,012,098	2,314,276	8,341	33.0	33.0	35.4
6. Technology (Mobile Caseworker)	8,558,850	9,795,836	(4,532,766)	5,263,070	2,074,512	5,263,071	(1)	-	-	-
7. Forensic Medical Assessment/Telemedicine	546,664	546,664	(85,000)	461,664	10,424	461,664	-	-	-	-
8. IMPACT Modifications	2,199,050	2,199,050	(2,150,350)	48,700	48,700	48,700	-	-	-	-
9. Purchased Client Services	21,660,111	21,660,111	(4,753,987)	16,906,124	15,475,742	16,905,069	1,055	-	-	-
10. Salary Package	9,162,176	8,860,000	-	8,860,000	8,621,763	8,661,320	198,680	-	-	-
11. Child Safety Specialists	1,155,564	1,155,564	(144,095)	1,011,469	982,350	1,011,469	-	20.0	20.0	17.0
12. Diligent Search & Background Check Staff	225,551	225,551	(5,424)	220,127	166,997	194,810	25,317	6.0	6.0	4.7
13. CPS Management Structure	6,530,927	6,530,927	114,362	6,645,289	4,122,592	5,498,979	1,146,310	116.0	116.0	80.3
14. Agency Operations Support	1,220,092	1,220,092	(221,574)	998,518	958,926	998,518	-	28.0	28.0	20.7
15. Human Resources Contract Cost Increase	364,743	364,743	-	364,743	318,772	364,743	-	-	-	-
16. Foster Care Residential Contract Mgmt/Audits	500,000	500,000	-	500,000	-	500,000	-	-	-	-
17. Independent Administrator & Evaluation	1,000,000	1,000,000	(424,777)	575,223	86,306	575,223	-	-	-	-
18. Contract Oversight/Program Quality Assurance	-	-	-	-	-	-	-	-	-	-
19. Transition of Agency Staff	-	-	-	-	-	-	-	-	-	-
20. Foster Care Child Passport	500,000	500,000	-	500,000	-	500,000	-	-	-	-
TOTAL, CPS REFORM	100,504,746	100,504,746	(12,566,198)	87,938,548	74,602,010	87,367,721	570,827	1,469.0	1,058.1	998.5
Method of Finance										
General Revenue	-	-	2,324,493	2,324,493		2,324,493	-			
Economic Stabilization Fund (ESF)	81,478,439	81,478,439	(13,043,015)	68,435,424		68,435,424	-			
Federal Funds, Est.	19,026,307	19,026,307	(1,847,676)	17,178,631		16,607,804	570,827			
TOTAL, ALL FUNDS	100,504,746	100,504,746	(12,566,198)	87,938,548		87,367,721	570,827			

Operating Budget Adjustments:

Detailed information related to FY 2006 Operating Budget adjustments is provided on Page 1(a).

Explanation of Variances:

Projections reflect anticipated expenditures for FY 2006. Although some purposes reflect surplus funds, those funds will be used to offset purposes with projected shortfalls. Final budget adjustments will be made later in FY 2007 when expenditures are complete. The total variance of \$570,827 in federal funds represents budgeted entitlement funding not anticipated to be earned.

**DFPS Rider 30 CPS Reform - FY 2006 Detail of Operating Budget Adjustments
(Includes Expenditure Plan adjustments)**

	FY 2006 Appropriated	Expenditure Plan Adjustments	OPERATING BUDGET ADJUSTMENTS							Total Operating Budget Adjustments	FY 2006 Operating Budget
			Adjustment # 1 Employee Pay Raise	Adjustment # 2 FEMA Funding	Adjustment # 3 HHSC Transfer	Adjustment # 4 Capital Budget Carryforward	Adjustment # 5 Purchased Services Carryforward	Adjustment # 6 Delayed Costs Carryforward			
Purpose Listed in Rider 30											
1. Salary/Other Support Costs-Direct Delivery Staff	28,745,567	(970,081)	402,054	5,899	1,964,333				(1,216,540)	1,155,746	28,931,232
2. Regional Screeners	2,016,635		17,651						(303,573)	(285,922)	1,730,713
3. Case Records Compliance	1,059,100		8,611						(200,000)	(191,389)	867,711
4. Functional Units for All Stages of Service	11,499,174	35,271	196,903							196,903	11,731,348
5. Expanded Training	3,560,542		69,098	284					(1,307,307)	(1,237,925)	2,322,617
6. Technology (Mobile Caseworker)	8,558,850	1,236,986				(4,532,766)				(4,532,766)	5,263,070
7. Forensic Medical Assessment/Telemedicine	546,664					(85,000)				(85,000)	461,664
8. IMPACT Modifications	2,199,050					(2,150,350)				(2,150,350)	48,700
9. Purchased Client Services	21,660,111							(4,753,987)		(4,753,987)	16,906,124
10. Salary Package	9,162,176	(302,176)								-	8,860,000
11. Child Safety Specialists	1,155,564		17,950	474					(162,519)	(144,095)	1,011,469
12. Diligent Search & Background Check Staff	225,551		9,799						(15,223)	(5,424)	220,127
13. CPS Management Structure	6,530,927		185,968	147					(71,753)	114,362	6,645,289
14. Agency Operations Support	1,220,092		59,566						(281,140)	(221,574)	998,518
15. Human Resources Contract Cost Increase	364,743									-	364,743
16. Foster Care Residential Contract Mgmt/Audits	500,000									-	500,000
17. Independent Administrator & Evaluation	1,000,000								(424,777)	(424,777)	575,223
18. Contract Oversight/Program Quality Assurance										-	-
19. Transition of Agency Staff										-	-
20. Foster Care Child Passport	500,000									-	500,000
TOTAL, CPS REFORM	100,504,746	-	967,600	6,804	1,964,333	(6,768,116)	(4,753,987)	(3,982,832)	(12,566,198)	(12,566,198)	87,938,548
Method of Finance											
General Revenue	-		750,177		1,574,316					2,324,493	2,324,493
Economic Stabilization Fund (ESF)	81,478,439					(5,288,139)	(4,753,987)	(3,000,889)		(13,043,015)	68,435,424
Federal Funds, Est.	19,026,307		217,423	6,804	390,017	(1,479,977)		(981,943)		(1,847,676)	17,178,631
TOTAL, ALL FUNDS	100,504,746		967,600	6,804	1,964,333	(6,768,116)	(4,753,987)	(3,982,832)	(12,566,198)	(12,566,198)	87,938,548

Explanation of Operating Budget Adjustments:

Adjustment #1 - General state employee pay raise for CPS staff who did not receive the monthly stipend.

Adjustment #2 - Increased federal funds from FEMA related to expenses for Hurricane Katrina.

Adjustment #3 - Approved transfer of funds from HHSC pursuant to Art II, Sec 13, Special Provisions to address the loss of federal funding due to the Deficit Reduction Act.

Adjustment #4 - Due to delayed implementation of capital projects in FY 2006 unspent funds will be carried forward to be used in FY 2007 for the same purposes.

Adjustment #5 - Purchased Services carryforward to FY 2007 to be used for continued client services.

Adjustment #6 - Carryforward for delayed costs to be incurred in FY 2007. These costs include items such as furniture and telecommunication costs.

DFPS Rider 30 CPS Reform Expenditure Report by Strategy for FY 2006
Status Report as of August 31, 2006

	FY 2006 Appropriated	FY 2006 Funding Allocation	Operating Budget Adjustments	FY 2006 Operating Budget	YTD Expenditures	FY 2006 Projected Expense (Annual)	Variance	FY06 Total Approp FTEs	Annual Phase-In	YTD Ave FTEs Filled
DFPS Strategy										
A.1.1 - Statewide Intake	-	1,377,740	(17,344)	1,360,396	1,303,411	1,322,619	37,777	37.0	37.0	37.0
A.2.1 - Child & Family Services Direct Delivery	-	49,467,156	827,000	50,294,156	46,873,373	49,865,788	428,369	1,175.0	839.1	824.8
A.2.2 - Child & Family Services Program Support	-	12,790,260	(5,388,277)	7,401,983	4,644,623	7,291,041	110,942	175.0	100.0	61.6
A.2.3 - TWC Foster Day Care	-	228,026	3,354,538	3,582,564	1,562,489	3,089,760	492,804	-	-	-
A.2.4 - TWC Protective Day Care	-	4,590,234	(232,235)	4,358,000	5,567,342	6,178,155	(1,820,156)	-	-	-
A.2.5 - Adoption Purchased Services	-	2,238,830	(1,238,830)	1,000,000	999,850	999,851	149	-	-	-
A.2.6 - Post Adoption Purchased Services	-	2,032,923	(2,032,923)	-	-	-	-	-	-	-
A.2.7 - Preparation for Adult Living (PAL) Service	-	-	-	-	-	-	-	-	-	-
A.2.8 - Substance Abuse Purchased Services	-	2,290,366	(1,290,366)	1,000,000	919,564	1,651,829	(651,829)	-	-	-
A.2.9 - Other CPS Purchased Services	-	10,741,395	(3,314,170)	7,427,225	6,436,921	5,447,138	1,980,087	-	-	-
A.4.1 - Child Care Regulation	-	3,015,464	(38,517)	2,976,947	2,649,898	2,976,320	627	63.0	63.0	56.0
B.1.1 - Central Administration	-	477,194	(38,432)	438,762	405,612	410,754	28,008	11.0	11.0	11.2
B.1.4 - IT Program Support	-	5,496,221	(531,967)	4,964,254	1,782,697	5,000,205	(35,951)	8.0	8.0	7.8
B.1.5 - Agency-wide Automation Systems	-	4,394,193	(2,624,675)	1,769,518	1,137,457	1,769,518	-	-	-	-
C.1.1 - CPS Reform	100,504,746	-	-	-	-	-	-	-	-	-
HHSC	-	1,364,743	-	1,364,743	318,772	1,364,743	-	-	-	-
TOTAL, CPS REFORM	100,504,746	100,504,746	(12,566,197)	87,938,548	74,602,010	87,367,721	570,828	1,469.0	1,058.1	998.5
Method of Finance										
General Revenue	-	-	2,324,493	2,324,493		2,324,493	-			
Economic Stabilization Fund (ESF)	81,478,439	81,478,439	(13,043,015)	68,435,424		68,435,424	-			
Federal Funds, Est.	19,026,307	19,026,307	(1,847,676)	17,178,631		16,607,804	570,827			
TOTAL, ALL FUNDS	100,504,746	100,504,746	(12,566,198)	87,938,548		87,367,721	570,827			

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DFPS Rider 30 CPS Reform Expenditure Report for FY 2006
FTE Detail as of August 31, 2006

	Sept	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Annual Ave
	Quarter 1			Quarter 2			Quarter 3			Quarter 4			
Purpose Listed in Rider 30													
1. Direct Delivery Staff Phased-in Staffing Plan	283.0	283.0	283.0	466.0	466.0	466.0	645.0	645.0	645.0	822.0	822.0	822.0	554.0
FTEs Filled	63.4	140.8	196.2	281.2	337.3	446.6	591.8	898.0	951.1	986.5	1,005.3	980.4	573.2
2. Regional Screeners Staffing Plan	41.0	41.0	41.0	41.0	41.0	41.0	41.0	41.0	41.0	41.0	41.0	41.0	41.0
FTEs Filled	0.0	0.0	0.0	0.0	8.2	18.6	35.4	38.0	39.3	40.0	39.1	38.0	21.4
3. Case Records Compliance Staffing Plan	20.0	20.0	20.0	20.0	20.0	20.0	20.0	20.0	20.0	20.0	20.0	20.0	20.0
FTEs Filled	19.1	19.0	19.5	18.4	19.9	20.0	20.0	19.1	19.0	17.7	13.2	12.0	18.1
4. Functional Units Phased-in Staffing Plan	97.0	97.0	97.0	192.5	192.5	192.5	288.0	288.0	288.0	383.0	383.0	383.0	240.1
FTEs Filled	31.6	58.6	81.5	119.5	138.7	191.6	260.3	322.9	357.7	378.2	394.0	398.2	227.7
5. Expanded Training Staffing Plan	33.0	33.0	33.0	33.0	33.0	33.0	33.0	33.0	33.0	33.0	33.0	33.0	33.0
FTEs Filled	33.0	33.0	31.0	31.9	31.5	31.0	31.0	31.6	34.8	42.4	46.6	47.0	35.4
11. Child Safety Specialists Staffing Plan	20.0	20.0	20.0	20.0	20.0	20.0	20.0	20.0	20.0	20.0	20.0	20.0	20.0
FTEs Filled	6.2	7.0	10.8	20.6	20.0	19.0	20.0	20.0	20.0	20.0	20.0	20.0	17.0
12. Diligent Search and Background Check Staffing Plan	6.0	6.0	6.0	6.0	6.0	6.0	6.0	6.0	6.0	6.0	6.0	6.0	6.0
FTEs Filled	1.0	1.0	1.8	2.8	2.9	6.0	5.9	5.5	6.0	6.0	6.0	12.0	4.7
13. CPS Management Structure Staffing Plan	116.0	116.0	116.0	116.0	116.0	116.0	116.0	116.0	116.0	116.0	116.0	116.0	116.0
FTEs Filled	21.0	31.8	100.9	102.1	105.2	106.1	113.0	64.8	76.1	83.9	80.1	78.8	80.3
14. Agency Operations Support Staffing Plan	28.0	28.0	28.0	28.0	28.0	28.0	28.0	28.0	28.0	28.0	28.0	28.0	28.0
FTEs Filled	1.0	1.3	6.5	12.6	14.8	16.0	38.4	22.0	23.4	24.0	26.5	62.0	20.7
18. Contract Oversight/Program Quality Assurance Staffing Plan	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
FTEs Filled	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
19. Transition of Agency Staff Phase-out Plan	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
FTEs Filled	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Total CPS Reform													
Staffing Plan	644.0	644.0	644.0	922.5	922.5	922.5	1,197.0	1,197.0	1,197.0	1,469.0	1,469.0	1,469.0	1,058.1
FTEs Filled	176.3	292.4	448.3	589.1	678.4	855.0	1,115.8	1,421.8	1,527.3	1,598.7	1,630.8	1,648.4	998.5
Percent Achievement of Staffing Plan	27.4%	45.4%	69.6%	63.9%	73.5%	92.7%	93.2%	118.8%	127.6%	108.8%	111.0%	112.2%	94.4%

**DFPS Rider 30 Report on CPS Recruitment, Retention, and Workload for FY 2006
Status Report as of August 31, 2006**

	Sept	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Annual Ave
	Quarter 1			Quarter 2			Quarter 3			Quarter 4			
All Regional CPS Positions													
Quarterly Goal	5,454.1	5,454.1	5,454.1	5,732.6	5,732.6	5,732.6	6,007.1	6,007.1	6,007.1	6,279.1	6,279.1	6,279.1	5,868.2
Year-to-date Average FTEs Filled	5,000.6	5,071.4	5,144.2	5,238.0	5,388.7	5,554.3	5,786.6	5,987.0	6,114.3	6,164.8	6,190.6	6,114.4	5,646.2
Attainment of Quarterly Goal	91.7%	93.0%	94.3%	91.4%	94.0%	96.9%	96.3%	99.7%	101.8%	98.2%	98.6%	97.4%	96.2%
Vacancy Rate	8.3%	7.0%	5.7%	8.6%	6.0%	3.1%	3.7%	0.3%	-1.8%	1.8%	1.4%	2.6%	3.9%
Average Length of Vacancy (in Days)	62.2	77.0	87.4	83.6	79.1	73.7	79.4	71.1	76.9	86.1	65.1	82.9	77.0
Turnover *	2.7%	2.5%	1.8%	2.2%	1.8%	1.5%	1.6%	1.8%	1.9%	2.3%	1.8%	2.6%	21.6%
All CPS Caseworker Positions													
Quarterly Goal	3,406.0	3,406.0	3,406.0	3,521.0	3,521.0	3,521.0	3,636.0	3,636.0	3,636.0	3,751.0	3,751.0	3,751.0	3,578.5
Year-to-date Average FTEs Filled	3,201.9	3,251.5	3,219.9	3,203.3	3,248.2	3,315.3	3,433.0	3,503.0	3,526.2	3,507.1	3,503.0	3,449.1	3,363.5
Attainment of Quarterly Goal	94.0%	95.5%	94.5%	91.0%	92.3%	94.2%	94.4%	96.3%	97.0%	93.5%	93.4%	92.0%	94.0%
Vacancy Rate	6.0%	4.5%	5.5%	9.0%	7.7%	5.8%	5.6%	3.7%	3.0%	6.5%	6.6%	8.0%	6.0%
Average Length of Vacancy (in Days)	59.3	73.8	83.4	76.2	70.7	72.3	74.8	69.7	72.4	80.0	57.7	73.6	72.0
Turnover *	3.2%	3.2%	2.4%	3.0%	2.3%	2.1%	2.2%	2.5%	2.8%	3.3%	2.5%	3.6%	29.8%
Year-to-date Average CPS Caseworker Terminations													
In 3 months or less (FY 2005)													7.8%
In 3 months or less (FY 2006)													6.2%
In 3-6 months (FY 2005)													6.2%
In 3-6 months (FY 2006)													4.8%
CPS Average Daily Caseload Per Worker													
Investigation Goal	38.7	41.3	44.4	42.6	42.3	43.4	41.6	41.0	40.1	37.2	35.1	36.0	40.1
Actual	38.9	41.7	41.1	39.2	35.0	33.3	34.5	35.0	35.0	31.9	28.2	26.1	34.7
Family Based Safety Services Goal	24.7	24.7	24.7	24.7	24.7	24.7	24.7	24.7	24.7	24.7	24.7	24.7	24.7
Actual	21.4	21.3	20.8	21.8	21.4	20.4	20.5	20.5	20.1	20.1	20.1	20.0	20.6
Substitute Care Goal	42.7	42.7	42.6	42.8	42.4	42.9	42.6	42.8	43.2	43.7	43.8	46.0	43.2
Actual	42.6	43.3	43.7	46.4	46.5	46.0	46.3	45.9	44.9	44.5	44.2	44.0	44.7
Foster and Adoptive Development Goal	21.1	19.3	17.7	18.5	17.0	17.5	16.0	15.8	16.8	17.6	16.7	21.5	17.9
Actual	22.0	22.9	25.1	34.5	35.2	33.9	33.0	33.6	32.0	32.8	32.2	31.9	32.5

* Turnover is reflected as a monthly calculation. The annual turnover will reflect a cumulative total of all months.